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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/599,369

09/27/2006

Bernardus H.W. Hendriks

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PHILIPS INTELLECTUAL PROPERTY & STANDARDS

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BRIARCLIFF MANOR, NY 10510

EXAMINER

COLLINS, DARRYL J

ART UNIT

PAPER NUMBER

2873

MAIL DATE

DELIVERY MODE

03/18/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/599,369	Applicant(s) HENDRIKS ET AL.	
	Examiner DARRYL J. COLLINS	Art Unit 2873	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 22 December 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-13 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-13 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 27 September 2006 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

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DETAILED ACTION

In view of the Appeal Brief filed on December 22, 2008, PROSECUTION IS HEREBY REOPENED. New grounds of rejection are set forth below.

To avoid abandonment of the application, appellant must exercise one of the following two options:

(1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,

(2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

/Ricky L. Mack/
Supervisory Patent Examiner,
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Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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Claims 1-13 are rejected under 35 U.S.C. 103(a) as being unpatentable over Onuki et al (U.S. Patent Number 6,806,988) in view of Ingalls et al (U.S. patent Number 6,856,444).

Although, Onuki et al teaches a controllable optical lens system, comprising a chamber (column 5, lines 50-54) housing first and second fluids (Figure 2, elements 121 and 122, respectively), the interface between the fluids defining a lens surface (Figure 2, element 124), an electrode arrangement (Figure 2, elements 103 and 125) for electrically controlling the shape of the lens surface (column 6, lines 8-12), the electrode arrangement comprising first (Figure 2, element 121) and second (Figure 2, element 122) electrodes, a power source (Figure 2, element 126) for supplying current to the electrodes (column 6, lines 8-12), Onuki et al fails to *explicitly* {emphasis added} teach a means for monitoring the current supplied by the power source over time and deriving the charge supplied, a means for monitoring the voltage on one of the electrodes of the electrode arrangement, and a means for deriving from a desired lens power a value for controlling the total charge to be supplied to the electrode arrangement as claimed in independent claim 1, but does teach a CPU (Figure 9, element 130) and control feedback loops (Figure 9) of the electrical parameters. In a related endeavor, Ingalls et al teaches a means for monitoring the current and voltage (Figure 4, elements 12 and 13) supplied to an optical device such that it would have been obvious to one of ordinary skill in the art at the time the invention was made, to produce a controllable optical lens system wherein electrical parameters, such as the well-known means to measure current and voltage, are monitored and adjusted to provide proper focus of the lens system as claimed in independent claim 1.

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Onuki et al also teaches a controllable optical lens system, as described above, wherein the means for deriving a value is for deriving a ratio of the charge supplied to the voltage (column 8, lines 63-67) as claimed in dependent claim 2.

Onuki et al again teaches a controllable optical lens system, as described above, wherein the power source is also for maintaining a constant voltage and is controlled to maintain the voltage on the one of the electrodes after the derived ratio between the charge supplied and the voltage had been reached (Figure 8E) as claimed in dependent claim 3.

Onuki et al further teaches a controllable optical lens system, as described above, wherein the means for deriving comprises a look-up table (column 9, line 66 – column 10, line 4) as claimed in dependent claim 4.

Onuki et al still further teaches a controllable optical lens system, as described above, wherein the look-up table receives as input an effective electrode height, which depends on the lens power, and provides as output the ratio of the charge supplied to the voltage (Figure 10, steps S121, S122, S123 and S124) as claimed in dependent claim 5.

Still further, Onuki et al teaches a controllable optical lens system, as described above, wherein the electrode arrangement comprises a drive electrode arrangement comprising a base electrode (Figure 2, element 103) and a side wall electrode (Figure 2, element 125) as claimed in dependent claim 6.

Onuki et al teaches all of the claimed limitations as outlined above with respect to dependent claim 6, but fails to teach an annular electrode surrounding the chamber. It should be noted that providing an annular electrode is very well known in the optical art, therefore it would

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have been obvious to one of ordinary skill in the art to at the time the invention was made to provide an annular electrode to apply a uniform control for the shaping the fluid lens.

Once again, Onuki et al teaches a controllable optical lens system, as described above, wherein the first fluid comprises a polar and/or conductive liquid (column 6, line 21) and the second fluid comprises a nonconductive liquid (column 6, lines 17-18) as claimed in dependent claim 8.

Again, Onuki et al teaches a method of driving a controllable optical lens system, comprising a chamber (column 5, lines 50-54) housing first and second fluids (Figure 2, elements 121 and 122, respectively), the interface between the fluids defining a lens surface (Figure 2, element 124), an electrode arrangement (Figure 2, elements 103 and 125) for electrically controlling the shape of the lens surface (column 6, lines 8-12), the electrode arrangement comprising first (Figure 2, element 121) and second (Figure 2, element 122) wherein the method comprises selecting a desired lens power (Figure 10, element S122), deriving from the desired lens power a value for controlling the total charge to be supplied to the electrode arrangement (Figure 10, element S124), supplying current to the electrode arrangement (Figure 9, element 131), but fails to *explicitly* {emphasis added} teach monitoring of the current supplied over time and deriving the charge supplied and monitoring the voltage on one of the electrodes of the electrode arrangement and applying current until the total charge supplied to the electrode arrangement reaches the desired value as claimed in independent claim 9, but does teach a CPU (Figure 9, element 130) and control feedback loops (Figure 9) of the electrical parameters. In a related endeavor, Ingalls et al teaches a means for monitoring the current and voltage (Figure 4, elements 12 and 13) supplied to an optical device such that it would have been

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obvious to one of ordinary skill in the art at the time the invention was made, to produce a controllable optical lens system wherein electrical parameters, such as current and voltage, are monitored and adjusted to provide proper focus of the lens system as claimed in independent claim 9.

Onuki et al also teaches a method of driving a controllable optical lens system, as described above, wherein deriving a value comprises deriving a ratio of the charge supplied to the voltage (column 8, lines 63-67) as claimed in dependent claim 10.

Onuki et al again teaches a method of driving a controllable optical lens system, as described above, further comprising maintaining a constant voltage on the one of the electrodes of the electrode arrangement after the derived ratio between the charge supplied and the voltage had been reached (Figure 8E) as claimed in dependent claim 11.

Onuki et al further teaches a method of driving a controllable optical lens system, as described above, wherein the deriving a value indicating the total charge to be supplied comprises accessing a look-up table (column 9, line 66 – column 10, line 4) as claimed in dependent claim 12.

Onuki et al still further teaches a method of driving a controllable optical lens system, as described above, wherein the look-up table receives as input an effective electrode height, which depends on the lens power, and provides as output the ratio of the charge supplied to the voltage (Figure 10, steps S121, S122, S123 and S124) as claimed in dependent claim 13.

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Conclusion

Any inquiry concerning this communication or earlier communications from the examiner should be directed to DARRYL J. COLLINS whose telephone number is (571)272-2325. The examiner can normally be reached on 6:30 - 5:00 Monday - Thursday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ricky Mack can be reached on 571-272-2333. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/Darryl J. Collins/
Primary Examiner
Art Unit 2873

11 March 2009